



SONY-50L2204.CON

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
)  
KOMURO, et al. )  
)  
Application No.: 09/664,992 )  
)  
Filing Date: September 18, 2000 )  
)  
For: A METHOD AND SYSTEM FOR )  
TRANSFERRING INFORMATION )  
USING AN ENCRYPTION )  
MODE INDICATOR )  
\_\_\_\_\_ )

Examiner: Truong, Thanhnga B.

Art Unit: 2135

**RECEIVED**

JUL 13 2004

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TERMINAL DISCLAIMER (37 CFR § 1.321(c))  
TO OBVIATE PROVISIONAL OBVIOUSNESS-TYPE  
DOUBLE PATENTING REJECTION

Dear Sir:

1. Name of Assignees: SONY Corporation and  
SONY Electronics, Inc.

Addresses of Assignees: SONY Corporation of Japan  
7-35 Kitashingawa 6-Chome,  
Shinagawa-ku,  
Tokyo, Japan

SONY Electronics, Inc.  
1 Sony Drive  
Park Ridge, New Jersey 07656

Terminal Disclaimer  
Examiner: Truong, Thanhnga B.

-1-

Application No. 09/664,992  
Group Art Unit: 2135

2. The Assignee certifies to the best of Assignee's knowledge and belief that Assignee has the entire right, title, and whole interest in and to the above referenced patent application, and represents that the undersigned is authorized to sign on behalf of the above-referenced Assignee.

3. On information and belief, a copy of an assignment or other documents that evidences placement of title of the above-referenced Application in the Assignee is recorded with the United States Patent and Trademark Office at:

Reel:	011504
Frame:	0311
Recorded on:	February 5, 2001

A certificate under 37 CFR 3.73(b) is attached hereto.

4. Assignees disclaim the terminal part of any Patent granted on the above-captioned Patent Application, extending beyond expiration of the full statutory term of the prior U.S. Patent No. 6,223,285, as presently shortened by any terminal disclaimer under 37 CFR § 1.321. Assignees hereby agree that any Patent granted on the above-captioned Patent Application shall be enforceable only for and during such period, as the legal title to said Patent shall be the same as the legal title to prior U.S. Patent No. 6,223,285. This agreement to run with any Patent granted on the above-captioned Patent Application and to be binding upon the grantor, its successors, or assigns.

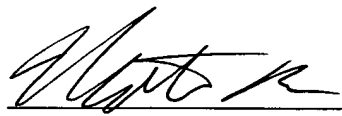
5. Petitioner does not disclaim any terminal part of any Patent granted on the above-captioned Patent Application prior to the expiration of the full statutory term of Patent granted on prior U.S. Patent No. 6,223,285 as presently shortened by any terminal disclaimer, in the event that it later expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR § 1.321(a), has all claims canceled by reexamination certificate, or is otherwise terminated prior to expiration of its statutory term as presently shortened by any terminal disclaimer, except for a separation of legal title to the above-captioned Patent Application.

6. The agent of record is empowered to sign on behalf of the Assignees.

7. The terminal disclaimer fee under 37 CFR 1.20(d) is enclosed.

Respectfully submitted,  
WAGNER, MURABITO & HAO LLP

Dated: 29 June 2004

  
Matthew J. Blecher  
Registration No. 46,558

WAGNER, MURABITO & HAO LLP  
Two North Market Street  
Third Floor  
San Jose, CA 95113  
(408) 938-9060

# Assignment To SONY Corporation and SONY Electronics

Whereas, I/we, Yoshitomo Oswawa  
executed employment agreements with SONY Corporation and SONY Electronics, hereinafter called the Assignees, (SONY Corporation having its principal place of business at Tokyo, Japan, and SONY Electronics, Inc., a Corporation of Delaware) whereby I/we have agreed to assign to the Assignees all inventions (except as otherwise limited by law) which relate to the business of the Assignees and which were first conceived or actually reduced to practice during my/our employment by the Assignees;

Now, therefor, pursuant to the terms of said employment agreements and for good and valuable consideration, receipt of which is hereby acknowledged, I/we do hereby sell, assign and transfer unto the Assignees, their successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

A METHOD AND SYSTEM FOR TRANSFERRING INFORMATION USING AN ENCRYPTION MODE INDICATOR

..... filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

X Serial No.: 08/957,051 filed on 10/24/97 and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, I/we agree promptly upon request of the Assignees, their successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to the Assignees, their successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by the Assignees.

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature: .....	Date: .....
Inventor's Signature: <u>Yoshitomo Oswawa</u>	Date: <u>23/Mar/1998</u>
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....

**Assignment To SONY Corporation and SONY Electronics**

Whereas, I/we, Hisato Shima  
 executed employment agreements with SONY Corporation and SONY Electronics, hereinafter called the Assignees, (SONY Corporation having its principal place of business at Tokyo, Japan, and SONY Electronics, Inc., a Corporation of Delaware) whereby I/we have agreed to assign to the Assignees all inventions (except as otherwise limited by law) which relate to the business of the Assignees and which were first conceived or actually reduced to practice during my/our employment by the Assignees;

Now, therefor, pursuant to the terms of said employment agreements and for good and valuable consideration, receipt of which is hereby acknowledged, I/we do hereby sell, assign and transfer unto the Assignees, their successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

A METHOD AND SYSTEM FOR TRANSFERRING INFORMATION USING AN ENCRYPTION MODE INDICATOR

..... filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

X Serial No.: 08/957,051 filed on 10/24/97 and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, I/we agree promptly upon request of the Assignees, their successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to the Assignees, their successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by the Assignees.

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: <u>Hisato Shima</u>	Date: <u>March 25, 1998</u>
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....

# Assignment To SONY Corporation and SONY Electronics

Whereas, I/we, Tomoyuki Asano  
executed employment agreements with SONY Corporation and SONY Electronics, hereinafter called the Assignees, (SONY Corporation having its principal place of business at Tokyo, Japan, and SONY Electronics, Inc., a Corporation of Delaware) whereby I/we have agreed to assign to the Assignees all inventions (except as otherwise limited by law) which relate to the business of the Assignees and which were first conceived or actually reduced to practice during my/our employment by the Assignees;

Now, therefor, pursuant to the terms of said employment agreements and for good and valuable consideration, receipt of which is hereby acknowledged, I/we do hereby sell, assign and transfer unto the Assignees, their successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

A METHOD AND SYSTEM FOR TRANSFERRING INFORMATION USING AN ENCRYPTION MODE INDICATOR

..... filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

x Serial No.: 08/957,051 filed on 10/24/97 and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, I/we agree promptly upon request of the Assignees, their successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to the Assignees, their successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by the Assignees.

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: <u>Tomoyuki Asano</u>	Date: <u>Mar. 23, 1998</u>
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....
Inventor's Signature: .....	Date: .....

## Assignment To SONY Corporation and SONY Electronics

Whereas, I/we, Teruyoshi Komuro  
executed employment agreements with SONY Corporation and SONY Electronics, hereinafter called the Assignees, (SONY Corporation having its principal place of business at Tokyo, Japan, and SONY Electronics, Inc., a Corporation of Delaware) whereby I/we have agreed to assign to the Assignees all inventions (except as otherwise limited by law) which relate to the business of the Assignees and which were first conceived or actually reduced to practice during my/our employment by the Assignees;

Now, therefor, pursuant to the terms of said employment agreements and for good and valuable consideration, receipt of which is hereby acknowledged, I/we do hereby sell, assign and transfer unto the Assignees, their successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

A METHOD AND SYSTEM FOR TRANSFERRING INFORMATION USING AN ENCRYPTION MODE INDICATOR

..... filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

X Serial No.: 08/957,051 filed on 10/24/97 and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, I/we agree promptly upon request of the Assignees, their successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to the Assignees, their successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by the Assignees.

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature: <u>X Teruyoshi Komuro</u>	Date: <u>11/26/88</u>
Inventor's Signature: _____	Date: _____
Inventor's Signature: _____	Date: _____
Inventor's Signature: _____	Date: _____
Inventor's Signature: _____	Date: _____
Inventor's Signature: _____	Date: _____
Inventor's Signature: _____	Date: _____
Inventor's Signature: _____	Date: _____

Notification to Parent of Continuing Application Filing  
Applicant: **Komuro et al.** Filed: **10/24/97**  
Docket No.: **SONY-50L2204** Serial No.: **08/957,051**  
Title: **METHOD AND SYSTEM FOR TRANSFERRING INFORMATION**  
**USING AN ENCRYPTION MODE INDICATOR**

Sir:

Please acknowledge receipt of the following:

- ☒ Certificate of Mailing
- ☒ Conditional Petition for an Extension of Time
- ☒ Notification of Filing of a Continuing Application

rev. 12/97 dbp

Submitted  
9.18.00